

01/23/02

JC931 U.S. PAT. &amp; TM. OFF.

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

N1440-003

First Inventor

Harold A. BROKISH

Title

Inbred Corn Line KW7606

JC931 U.S. PAT. &amp; TM. OFF.

10/15/2002

☒ Assignee Information: KWS Kleinwanzlebener Saatzeugt AG**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: **Assistant Commissioner of Patents  
Box Patent Application  
Washington, D.C. 20231**

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
3. ☒ Specification Total pages [38]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross references to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
4. ☐ Drawing(s) (35 USC 113) (Total Sheets) [ ]
5. ☒ Oath or Declaration (Total Pages) [1]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application  
(37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
- [Note Box 5 below]**
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application.
6. ☒ Application Data Sheet.

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program
8. Nucleotide and/or Amino Acid Sequence Submission
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement  
(when there is an assignee)
- ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement /PTO 1449
- ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s).
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).
17. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in an application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner \_\_\_\_\_ Group/Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

☒ Customer Number or Bar Code Label **6449**

|           |  |           |              |          |                  |
|-----------|--|-----------|--------------|----------|------------------|
| Address   | Rothwell, Figg, Ernst & Manbeck<br>Suite 701-East, 555 13th Street, N.W. |           |              |          |                  |
| City      | Washington   | State     | D.C.         | Zip Code | 20004            |
| Country   | U.S.A.   | Telephone | 202-783-6040 | Fax      | 202-783-6031     |
| Name      | Robert J. Jondle, Ph.D.  |           |              |          |                  |
| Signature | Gregg Jansen 46,799  |           |              | Date     | January 22, 2002 |

|   |              |                        |                   |
|---|--------------|------------------------|-------------------|
| <b>FEE TRANSMITTAL<br/>for FY 2002<br/>(Large Entity)</b> |              | Complete if Known      |                   |
|   |              | Application Number     | 10/               |
|   |              | Filing Date            |                   |
|   |              | First Named Inventor   | Harold A. BROKISH |
|   |              | Examiner Name          |                   |
|   |              | Group Art Unit         |                   |
| Total Amount of Payment                                   | (\$1,014.00) | Attorney Docket Number | N1440-003         |

#### METHOD OF PAYMENT (check one)

#### FEE CALCULATION (continued)

1. ☒ The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck
- ☒ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status
- ☒ Payment Enclosed
- ☒ Check
- ☐ Credit Card

#### 3. ADDITIONAL FEES

| Fee Code            | Fee Paid | Fee Description  | Fee Paid |
|---------------------|----------|--|----------|
| 105                 | 130      | Surcharge - late filing fee or oath  | [ ]      |
| 127                 | 50       | Surcharge - late provisional filing fee or cover sheet                     | [ ]      |
| 139                 | 130      | Non-English specification  | [ ]      |
| 147                 | 2,520    | For filing a request for reexamination                                     | [ ]      |
| 112                 | 920      | Requesting publication of SIR prior to Examiner action                     | [ ]      |
| 113                 | 1,840*   | Requesting publication of SIR after Examiner action                        | [ ]      |
| 115                 | 110      | Extension for reply within first month                                     | [ ]      |
| 116                 | 400      | Extension for reply within second month                                    | [ ]      |
| 117                 | 920      | Extension for reply within third month                                     | [ ]      |
| 118                 | 1,440    | Extension for reply within fourth month                                    | [ ]      |
| 128                 | 1,960    | Extension for reply within fifth month                                     | [ ]      |
| 119                 | 320      | Notice of Appeal   | [ ]      |
| 120                 | 320      | Filing a brief in support of an appeal                                     | [ ]      |
| 121                 | 280      | Request for Oral Hearing   | [ ]      |
| 138                 | 1,510    | Petition to institute a public use proceeding                              | [ ]      |
| 140                 | 110      | Petition to revive -unavoidable  | [ ]      |
| 141                 | 1,280    | Petition to revive - unintentional   | [ ]      |
| 142                 | 1,280    | Utility issue fee (or reissue)   | [ ]      |
| 143                 | 460      | Design issue fee   | [ ]      |
| 144                 | 620      | Plant issue fee  | [ ]      |
| 122                 | 130      | Petitions to the Commissioner  | [ ]      |
| 123                 | 50       | Processing fee under 37 CFR 1.17(q)  | [ ]      |
| 126                 | 180      | Submission of Information Disclosure Statement                             | [ ]      |
| 581                 | 40       | Recording each patent assignment per property (times number of properties) | [40.00]  |
| 146                 | 740      | Filing a submission after final rejection (37 CFR .129(a))                 | [ ]      |
| 149                 | 740      | For each additional invention to be examined (37 CFR 1.129(b))             | [ ]      |
| 179                 | 740      | Request for Continued Examination (RCE)                                    | [ ]      |
| 169                 | 900      | Request for expedited examination of a design application                  | [ ]      |
| 195                 | 300      | Publication fee for early, voluntary, or normal publication                | [ ]      |
| 196                 | 300      | Publication fee for republication  | [ ]      |
| 089                 | 200      | Filing application for patent term adjustment                              | [ ]      |
| 090                 | 400      | Request for reinstatement of term reduced                                  | [ ]      |
| Other fee (specify) |          |  | [ ]      |

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL \$40.00**

#### FEE CALCULATION

#### 1. FILING FEE

| Fee Code        | Fee \$ | Fee Description        | Fee Paid        |
|-----------------|--------|------------------------|-----------------|
| 101             | 740    | Utility filing fee     | [740.00]        |
| 106             | 330    | Design Filing Fee      | [ ]             |
| 107             | 510    | Plant Filing Fee       | [ ]             |
| 108             | 740    | Reissue Filing Fee     | [ ]             |
| 114             | 160    | Provisional Filing Fee | [ ]             |
| <b>SUBTOTAL</b> |        |                        | <b>\$740.00</b> |

#### 2. CLAIMS

| Total Claims | Independent Claims | Multiple Dependent Claims | Extra Claims  | Fee    | Fee Paid |
|--------------|--------------------|---------------------------|---------------|--------|----------|
| [33]         | [ ]                | [ ]                       | - 20** = [13] | \$18 = | [234]    |
| [3]          | [ ]                | [ ]                       | - 3** = [ ]   | 84 =   | [ ]      |
| [ ]          | [ ]                | [ ]                       | + 280 =       | [ ]    | [ ]      |

\*\*or number previously paid, if greater;

**SUBTOTAL \$234.00**

|                      |                                   |                          |  |
|----------------------|-----------------------------------|--------------------------|--|
| SUBMITTED BY         |                                   | Complete (if applicable) |  |
| NAME AND REG. NUMBER | Robert J. Jondle, Reg. No. 33,915 |                          |  |
| SIGNATURE            | DATE                              | DEPOSIT ACCOUNT USER ID  |  |
| <i>Bregg Jansen</i>  | January 22, 2002                  |                          |  |

46,799